# **Gravitational Particle Production and Dark Matter — Four Lectures** 1. Dark Matter: Evidence and the Standard WIMP 2. Gravitational Particle Production (Schrödinger's Alarming Phenomenon) 3. GPP of Scalar Fields

. Beyond Scalar Fields

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#### **Cosmological Gravitational Particle Production (CGPP)**

- In Minkowskian QFT, a particle is an IR of the Poincaré group.
- But, expanding universe not Poincaré invariant.
- Notion of a "particle" is approximate.

Schrodinger (1939); Parker (1965, 68); Fulling, Ford, & Hu; Zel'dovich; Starobinski; Grib, Frolov, Mamaev, & Mostepanenko; Mukhanov & Sasaki, Birrell & Davies...



covariant action

$$S[\varphi(x), g_{\mu\nu}(x)] = \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\mu\nu} \partial_{\mu} \varphi \partial_{\nu} \varphi - \frac{1}{2} m^2 \varphi^2 + \frac{1}{2} \xi R \varphi^2 \right]$$
 Gravity enters the picture

in spatially flat FRW background :  $ds^2 = a^2(\eta)[d\eta^2 - dx^2]$  ( $\eta$  is conformal time)

$$S[\varphi(\eta, \boldsymbol{x})] = \int_{-\infty}^{\infty} d\eta \int d^{3}\mathbf{x} \left[ \frac{1}{2} a^{2} (\partial_{\eta}\varphi)^{2} - \frac{1}{2} a^{2} (\nabla\varphi)^{2} - \frac{1}{2} a^{4} m^{2} \varphi^{2} + \frac{1}{2} a^{4} \xi R \varphi^{2} \right]$$

field rescaling

$$\phi(\eta, \boldsymbol{x}) = a(\eta)\varphi(\eta, \boldsymbol{x})$$

 $aH \to 0$  to zero at  $\eta = \pm \infty$ 

action for canonically-normalized field

$$S[\phi(\eta, \boldsymbol{x})] = \int_{-\infty}^{\infty} d\eta \int d^{3}\mathbf{x} \left[ \frac{1}{2} (\partial_{\eta} \phi)^{2} - \frac{1}{2} (\nabla \phi)^{2} - \frac{1}{2} m_{\text{eff}}^{2} \phi^{2} - \frac{1}{2} \partial_{\eta} (aH\phi^{2}) \right]$$

time-dependent effective mass

$$m_{\text{eff}}^2(\eta) = a^2(\eta) \left[ m^2 + \left(\frac{1}{6} - \xi\right) R(\eta) \right]$$

cosmological expansion  $\Rightarrow$ time-dependent background  $\Rightarrow$ time-dependent Hamiltonian for spectator fields

Fourier mode decomposition:

$$\widehat{\phi}(\eta, \boldsymbol{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \left[ \widehat{a}_{\mathbf{k}} \chi_{\mathbf{k}}(\eta) e^{i\mathbf{k}\cdot\mathbf{x}} + \widehat{a}_{\mathbf{k}}^{\dagger} \chi_{\mathbf{k}}^*(\eta) e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

Mode functions satisfy wave equation:

$$\partial_{\eta}^2 \chi_k(\eta) + \omega_k^2(\eta) \chi_k(\eta) = 0$$

but with a time-dependent dispersion relation

$$\omega_k^2(\eta) = k^2 + m_{\text{eff}}^2(\eta) \qquad m_{\text{eff}}^2(\eta) = a^2(\eta) \left[ m^2 + \left(\frac{1}{6} - \xi\right) R(\eta) \right]$$
Vacuum state  $|0\rangle$  defined as state where  $\hat{a}_{\mathbf{k}}|0\rangle = 0$ 

Solutions to wave equation include both + and - frequency terms

$$\chi_k(\eta) = \frac{\alpha_k(\eta)}{\sqrt{2\omega_k(\eta)}} e^{-i\int\omega_k(\eta)d\eta} + \frac{\beta_k(\eta)}{\sqrt{2\omega_k(\eta)}} e^{+i\int\omega_k(\eta)d\eta}$$

Assume start with only + frequency term:  $\chi_k(\eta) = \frac{1}{\sqrt{2\omega_k(\eta)}} e^{-i\int \omega_k(\eta)d\eta}$ 

$$\partial_{\eta}^{2}\chi_{k}(\eta) + \omega_{k}^{2} \left[ 1 + 3\left(\frac{\partial_{\eta}\omega_{k}}{2\omega_{k}^{2}}\right)^{2} - \frac{\partial_{\eta}^{2}\omega_{k}}{2\omega_{k}^{3}} \right] \chi_{k}(\eta) = 0$$

Mixing of + and – frequency terms depends on "Adiabaticity parameter"  $A_k$ :

 $A_k \equiv \frac{\partial_{\eta} \omega_k}{\omega_k^2} \qquad \begin{array}{c} A_k \ll 1, \ + \ \text{frequency solution remains good} \\ A_k \gg 1, \ + \ \text{and} - \ \text{frequency terms mix} \end{array}$ 

Mode functions satisfy wave equation:

 $\partial_{\eta}^2 \chi_k(\eta) + \omega_k^2(\eta) \chi_k(\eta) = 0$ 

but with a time-dependent dispersion relation

$$\omega_k^2(\eta) = k^2 + m_{\text{eff}}^2(\eta)$$

Vacuum state  $|0\rangle$  defined as state where  $\hat{a}_{\mathbf{k}}|0\rangle = 0$ 

+ & - frequency modes  

$$\chi_{\pm}^{(\text{early})}(\eta) \xrightarrow{\eta \to -\infty} \frac{1}{\sqrt{2k}} e^{\mp i k \eta}$$
  
 $\chi_{\pm}^{(\text{late})}(\eta) \xrightarrow{\eta \to +\infty} \frac{1}{\sqrt{2am}} e^{\mp i \int^{\eta} d\eta' am}$ 

leads to mode mixing

$$\begin{pmatrix} \chi_{k+}^{(\text{late})}(\eta) \\ \chi_{k-}^{(\text{late})}(\eta) \end{pmatrix} = \begin{pmatrix} \alpha_k & \beta_k \\ \beta_k^* & \alpha_k^* \end{pmatrix} \begin{pmatrix} \chi_{k+}^{(\text{early})}(\eta) \\ \chi_{k-}^{(\text{early})}(\eta) \end{pmatrix}$$

time-dependent Hamiltonian  $\Rightarrow$  mode mixing  $\Rightarrow$  - frequency modes from + frequency modes

#### **Schrödinger's Alarming Phenomenon**

Expansion of the universe causes explicit time dependence in action for "spectator" fields. Initial ~ de Sitter (early-time) vacuum may not evolve to final ~ Minkowski (late-time) vacuum, but to an excited state populated by particles.



Solutions to wave equation include both + and - frequency terms

$$\chi_k(\eta) = \frac{\alpha_k(\eta)}{\sqrt{2\omega_k(\eta)}} e^{-i\int\omega_k(\eta)d\eta} + \frac{\beta_k(\eta)}{\sqrt{2\omega_k(\eta)}} e^{+i\int\omega_k(\eta)d\eta}$$

If start with only outgoing waves,  $\beta_k(\eta) = 0$ , will generate incoming waves,  $\beta_k(\eta) \neq 0$ .

Comoving number density of particles at late time is

$$n a^3 = \frac{1}{(2\pi)^3} \int d^3k \, |\beta_k(\eta)|^2 = \frac{1}{2\pi^2} \int \frac{dk}{k} \, k^3 |\beta_k(\eta)|^2$$
$$n_k \equiv \frac{1}{2\pi^2} k^3 |\beta_k(\eta)|^2 \qquad \text{Spectral density}$$

#### Scalar field $\boldsymbol{\varphi}$ in FRW background

$$m_{\rm eff}^2(\eta) = a^2(\eta) \left[ m^2 + \left(\frac{1}{6} - \xi\right) R(\eta) \right]$$

Abrupt changes in  $a(\eta)$  leads to nonadiabatic changes in  $\omega_k(\eta)$ , which *adulterates* positive and negative frequency modes, leading to of particle creation in the expanding universe.

 $\frac{\partial_{\eta}\omega_k}{\omega_k^2}$  Adiabatic deep in quasi-de Sitter phase Adiabatic at late time after inflation

Nonadiabatic:  $\begin{cases} \xi = 0: \begin{cases} k/a \ll H \text{ when mode exits horizon during inflation. Super-Hubble.} \\ k/a \gg H \text{ at end of inflation. Sub-Hubble radius.} \end{cases}$ 

#### **Standard Inflationary Picture**

Quasi-de Sitter Phase driven by vacuum energy of inflaton displaced from potential minimum, expansion rate  $H_e$  roughly constant

Matter-dominated phase due to inflaton oscillations about minimum of potential

Inflaton decays and leads to radiation-dominated phase characterized by a reheat temperature  $T_{\rm RH}$ 

Conformal time  $-\infty < \eta < 0$  de Sitter and  $0 < \eta < \infty$  matter-dominated  $\rightarrow$  radiation-dominated

| <u>Analytic</u> | inflation             | matter-dominated                         | ( $a_e$ , $H_e$ are values at end of inflation |
|-----------------|-----------------------|--|--|
|                 | $a = \frac{a_e}{a_e}$ | $a = a_e (1 + \frac{1}{2}\eta)^2$        |  |
|                 | $^{\omega}=1-\eta$    | $H_{-}$ $H_{e}$                          |  |
|                 | $H = H_e$             | $II = \frac{1}{(1 + \frac{1}{2}\eta)^3}$ |  |

#### **Standard Inflationary Picture**

We can normalize the scale factor a such that  $a_eH_e=1$ 

A momentum mode of comoving value k. It has physical wavenumber k/a

At the end of inflation it is  $k/a_e$ .

Ratio of the physical wavenumber to  $H_e$  is  $k/a_eH_e$ , or simply k.

k < 1 corresponds to modes that are super-Hubble radius at the end of inflation.

k > 1 corresponds to modes that are sub-Hubble radius at the end of inflation.

#### **Bardard Inflationary Picture, but not Standard Inflationary Model**

But there is a "simple" inflationary model: single-field with quadratic inflaton potential:

$$V(\varphi) = \frac{1}{2}\mu^2\varphi^2$$

Simple model ruled out by CMB measurements. But CMB measurements probe inflaton potential 60 or so e-folds before the end of inflation. For our studies we will often be interested in inflaton potential near the end or after inflation ends when  $\varphi$  is close to the minimum of its potential and quadratic description may be a good approximation.



#### **Example 1** Standard Inflationary Picture, but not Standard Inflationary Model





### **Chaotic Model Analytic vs. Numerical**



#### Adiabticity Parameter A<sub>k</sub>

Mixing of + and – frequency terms depends on "Adiabaticity parameter"  $A_k$ :

$$\begin{split} A_k &\equiv \frac{\partial_\eta \omega_k}{\omega_k^2} & A_k \ll 1, \ + \text{ frequency solution remains good} \\ A_k &\gg 1, \ + \text{ and } - \text{ frequency terms mix} \end{split}$$
$$\omega_k^2 &= k^2 + a^2(\eta) \left[ m^2 + \left(\frac{1}{6} - \xi\right) R(\eta) \right] \end{split}$$

Define some dimensionless parameters

$$\begin{split} &\alpha \equiv a/a_e \\ &\mu \equiv m/H_e \\ &h \equiv H/H_e \end{split} \qquad A_k = \frac{\alpha^3 \mu^2 h + \alpha^3 h(R/H_e^2)(1/6 - \xi) - \frac{1}{2}\alpha^2 (R'/H_e^2)(1/6 - \xi)}{[k^2 + \alpha^2 \mu^2 + \alpha^2 (R/H_e^2)(1/6 - \xi)]^{3/2}} \end{split}$$





#### **Conformal and Minimal Couplings Very Different**

Mode equation:

 $\partial_{\eta}^2 \chi_k(\eta) + \omega_k^2(\eta) \chi_k(\eta) = 0$ 

 $\omega_k^2 < 0$  Possible for minimal coupling—expect growth

Why should  $\xi$  be constant? There should be an RG flow for  $\xi$ .

Might set  $\xi$  to 0 or 1/6 at some scale (say  $M_{\rm Pl}$ ?) but at other scales there should be log corrections.

 $ho = T^0_{\ 0}$  expressed in conformal time and in terms of field variable  $\chi = a\phi$ 

$$2a^{4}\rho = (\partial_{\eta}\chi)^{2} + (\nabla\chi)^{2} + a^{2}m^{2}\chi^{2} - 4\xi \left[\chi\nabla^{2}\chi + (\nabla\chi)^{2}\right] + (1 - 6\xi) \left\{a^{2}H^{2}\chi^{2} - aH \left[\chi\partial_{\eta}\chi + (\partial_{\eta}\chi)\chi\right]\right\}$$

Even though the kinetic term in the action is canonically normalized for the comoving field  $\chi$  the energy density still has a mixed term.

Using the mode expansion and the commutation relations for  $\hat{a}$  and  $\hat{a}^{\dagger}$ , we can express the energy density  $\rho = \langle 0 | \hat{T}^0{}_0 | 0 \rangle$  in terms of the mode functions. For instance,  $\chi^2$  term:

$$\left\langle 0 \left| \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3q}{(2\pi)^3} \left[ \hat{a}_k \,\chi_k(\eta) \, e^{ik \cdot x} + \hat{a}_k^{\dagger} \,\chi_k^*(\eta) \, e^{-ik \cdot x} \right] \left[ \hat{a}_q \,\chi_q(\eta) \, e^{iq \cdot x} + \hat{a}_q^{\dagger} \,\chi_q^*(\eta) \, e^{-iq \cdot x} \right] \left| 0 \right\rangle \right.$$

$$\left\langle 0 \left| \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3q}{(2\pi)^3} \left[ \hat{a}_k \,\chi_k(\eta) \, e^{ik \cdot x} + \hat{a}_k^\dagger \,\chi_k^*(\eta) \, e^{-ik \cdot x} \right] \left[ \hat{a}_q \,\chi_q(\eta) \, e^{iq \cdot x} + \hat{a}_q^\dagger \,\chi_q^*(\eta) \, e^{-iq \cdot x} \right] \right| 0 \right\rangle$$

Has terms proportional to  $\langle 0 | \hat{a}_k \hat{a}_q | 0 \rangle$ ,  $\langle 0 | \hat{a}_k^{\dagger} \hat{a}_q | 0 \rangle$ ,  $\langle 0 | \hat{a}_k^{\dagger} \hat{a}_q^{\dagger} | 0 \rangle$ ,  $\langle 0 | \hat{a}_k \hat{a}_q^{\dagger} | 0 \rangle$ ,  $\langle 0 | \hat{a}_k \hat{a}_q^{\dagger} | 0 \rangle$ 

Only last term nonvanishing. Normal order:  $\langle 0 \left| \hat{a}_k \hat{a}_q^{\dagger} \right| 0 \rangle \rightarrow (2\pi)^3 \delta^3 (k-q)$  with result

$$\int \frac{d^3k}{(2\pi)^3} |\chi_k|^2$$

Following similar procedure for the rest of the terms yields

$$2a^{4}\rho = \int \frac{d^{3}k}{(2\pi)^{3}} \left\{ \left| \partial_{\eta}\chi_{k} \right|^{2} + \omega_{k}^{2} \left| \chi_{k} \right|^{2} + (1 - 6\xi) \left[ \left( a^{2}H^{2} - \frac{1}{6}a^{2}R \right) \left| \chi_{k} \right|^{2} - 2aH \operatorname{Re}\left[ \chi_{k} \partial_{\eta}\chi_{k}^{*} \right] \right] \right\}$$

$$2a^{4}\rho = \int \frac{d^{3}k}{(2\pi)^{3}} \left\{ \left| \partial_{\eta}\chi_{k} \right|^{2} + \omega_{k}^{2} \left| \chi_{k} \right|^{2} + (1 - 6\xi) \left[ \left( a^{2}H^{2} - \frac{1}{6}a^{2}R \right) \left| \chi_{k} \right|^{2} - 2aH \operatorname{Re}\left[ \chi_{k} \partial_{\eta}\chi_{k}^{*} \right] \right] \right\}$$

Infinite before renormalization (duh, it's field theory). Introduce UV cutoff  $\Lambda_{
m UV}$ 

Leads to time-dependent and time-independent counterterms  $\Lambda_{\rm UV}^4$ ,  $H^2 \Lambda_{\rm UV}^2$ , &  $H^4 \log \Lambda_{\rm UV}$ Renormalize divergences by requiring  $\langle 0|\hat{T}_{00}|0\rangle = 0$  in Minkowski vacuum.

Since we will be interested in the asymptotic value of  $\rho$  where H and R vanish

$$\lim_{\eta \to \infty} a^4 \rho^{\text{ren}} = \int \frac{dk}{k} \frac{k^3}{2\pi^2} \lim_{\eta \to \infty} \left( \frac{1}{2} \left| \partial_\eta \chi_k \right|^2 + \frac{1}{2} \omega_k^2 \left| \chi_k \right|^2 - \frac{1}{2} \omega_k \right)$$

$$\lim_{\eta \to \infty} a^4 \rho^{\text{ren}} = \int \frac{dk}{k} \frac{k^3}{2\pi^2} \lim_{\eta \to \infty} \left( \frac{1}{2} \left| \partial_\eta \chi_k \right|^2 + \frac{1}{2} \omega_k^2 \left| \chi_k \right|^2 - \frac{1}{2} \omega_k \right)$$

At late time in the NR limit  $\omega_k \rightarrow am$  and  $\rho = m n$ 

$$\lim_{\eta \to \infty} a^3 n = \int \frac{dk}{k} \ n_k = \int \frac{dk}{k} \ \frac{k^3}{2\pi^2} \ \left[ \frac{1}{am} \lim_{\eta \to \infty} \ \left( \frac{1}{2} \left| \partial_\eta \chi_k \right|^2 + \frac{1}{2} \omega_k^2 \left| \chi_k \right|^2 \right) - \frac{1}{2} \right]$$

In terms of Bogoliubov coefficients:

$$\lim_{a \to \infty} n a^3 = \int \frac{dk}{k} \lim_{a \to \infty} n_k = \int \frac{dk}{k} \frac{k^3}{2\pi^2} \lim_{\eta \to \infty} \frac{\omega_k}{am} |\beta_k|^2 = \int \frac{dk}{k} \frac{k^3}{2\pi^2} \lim_{\eta \to \infty} |\beta_k|^2$$

Phew!

Three (equivalent) ways to calculate relic abundance:

- 1. Integrate EOM:  $\partial_{\eta}^2 \chi_k = -\omega_k^2 \chi_k$  (2<sup>nd</sup>-order equation for complex  $\chi_k$ )
- 2. Integrate two 1<sup>st</sup>-order equations for complex  $\alpha_k$  and  $\beta_k$

$$\begin{split} \chi_{k}(\eta) &= \frac{\alpha_{k}(\eta)}{\sqrt{2\omega_{k}(\eta)}} e^{-i\Phi_{k}(\eta)/2} + \frac{\beta_{k}(\eta)}{\sqrt{2\omega_{k}(\eta)}} e^{i\Phi_{k}(\eta)/2} \\ \partial_{\eta}\chi_{k} &= -i\omega_{k} \left( \frac{\alpha_{k}(\eta)}{\sqrt{2\omega_{k}(\eta)}} e^{-i\Phi_{k}(\eta)/2} - \frac{\beta_{k}(\eta)}{\sqrt{2\omega_{k}(\eta)}} e^{i\Phi_{k}(\eta)/2} \right) \\ \Phi_{k}(\eta) &\equiv 2 \int_{\eta_{i}}^{\eta} d\eta' \; \omega_{k}(\eta') \qquad \text{Phase} \\ \partial_{\eta}\alpha_{k} &= \frac{1}{2}A_{k} \; \omega_{k} \; \beta_{k} \; e^{i\Phi_{k}} \\ \partial_{\eta}\beta_{k} &= \frac{1}{2}A_{k} \; \omega_{k} \; \alpha_{k} \; e^{-i\Phi_{k}} \end{split}$$
(for imaginary  $\omega_{k}$  analytic continuation cumbersome)

Three (equivalent) ways to calculate relic abundance:

3. Define  $a_k$  and  $b_k$  to avoid calculating  $\Phi_k$  (two 1<sup>st</sup>-order equations for complex  $a_k$  and  $b_k$ ):

$$\alpha_k(\eta) = a_k(\eta) e^{i\Phi_k(\eta)/2} \quad \text{and} \quad \beta_k(\eta) = b_k(\eta) e^{-i\Phi_k(\eta)/2}$$
$$\partial_\eta a_k(\eta) = -i\omega_k(\eta) a_k(\eta) + \frac{1}{2}A_k(\eta) \omega_k(\eta)b_k(\eta)$$
$$\partial_\eta b_k(\eta) = +i\omega_k(\eta) b_k(\eta) + \frac{1}{2}A_k(\eta) \omega_k(\eta)a_k(\eta)$$

Initial Conditions: as  $a \to 0$ , frequency  $\omega_k^2 = k^2 + a^2(\eta) \left[ m^2 + \left( \frac{1}{6} - \xi \right) R(\eta) \right] \to k^2$  motivates "Bunch-Davies" initial conditions for  $\chi_k$  and  $\partial_{\eta} \chi_k$ :

$$\chi_k(\eta) \xrightarrow{\eta \to -\infty} \chi_k^{\rm BD}(\eta) \equiv \frac{1}{\sqrt{2k}} e^{-ik\eta}$$
$$\partial_\eta \chi_k(\eta) \xrightarrow{\eta \to -\infty} -i\sqrt{\frac{k}{2}} e^{-ik\eta}$$

as  $\eta \to \infty$  the physical momentum is much larger than H and the field should not "feel" the curvature of spacetime.

#### **NO APOLOGIES!**

So just integrate EOM (either using 1, 2, or 3) with BD initial conditions and extract  $|\beta_k|^2$  at late time and calculate spectral density and comoving number density.

$$n_k = \frac{k^3}{2\pi^2} \left[ \frac{1}{am} \left( \frac{1}{2} \left| \partial_\eta \chi_k \right|^2 + \frac{1}{2} \omega_k^2 \left| \chi_k \right|^2 \right) - \frac{1}{2} \right] = \frac{k^3}{2\pi^2} |\beta_k|^2$$

$$n a^3 = \int \frac{dk}{k} n_k$$







### Conversion of $na^3$ to $\Omega h^2$

After inflation universe dominated by coherent oscillations of inflaton. Energy density decreases as a matter-dominated universe. Eventually inflaton decays, "reheating" the universe to some "reheat" temperature  $T_{\rm RH}$ , after which the universe evolves as a radiation-dominated universe, eventually becoming matter dominated around z = 30,000, then dark-energy dominated at a redshift  $\approx 1$ .

All the while  $na^3$  remaining constant.

$$\frac{\Omega h^2}{0.12} = \frac{m}{H_e} \left(\frac{H_e}{10^{12} \,\text{GeV}}\right)^2 \left(\frac{T_{\text{RH}}}{10^9 \,\text{GeV}}\right) \frac{\lim_{a \to \infty} n \, a^3}{10^{-5}}$$

We don't know  $H_e$  or  $T_{\rm RH}$ , but the above values are "representative" choices.

So  $na^3 \approx 10^{-5}$  seems desirable.

### **CGPP & Dark Matter**

- Inflation indicates a new mass scale
- In most models,  $m_{\text{inflaton}} \approx H_{\text{inflation}} \approx 10^{12} 10^{14} \text{ GeV}$ ?
- $H_{\text{inflation}}$  detectable via primordial gravitational waves in CMB
- (I) expect other particles with mass  $\approx m_{\text{inflaton}}$



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