

Andrei Sakharov

and Cosmological Baryogengesis

A critical view after more than half century of the seminal paper

COSMOS & CONTEXTO

\cdot Sakharov: físico teórico ou inventor? \cdot

Ignacio Alfonso de Bediaga e Hickman / 26 de abril de 2019

Ignacio Bediaga: Centro Brasileiro de Pesquisas Físicas. Mário Novello's 80th Anniversary Symposium September 12 Centro Brasileiro de Pesquisas Físicas.



It is traditional to start any discussion of baryogengesis with the list of three necessary ingredients needed to create a baryon asymmetry :

- **1- Baryonic number violation.**
- **2- Loss of thermal equilibrium**
- **3- C and CP violation.**



Although these principles have come to be attributed to Sakharov, he did not enunciate them as clearly in his three-page paper as one might have been led to think, especially the second point.

James M. Cline

Cold Universe Expansion

Based in the Zeldovich Cold Universe:

'The initial stage of an expanding Universe and the appearance of a nonuniform distribution of matter" Soviet Physics JETP, Vol. 22, p.241.

Initial state super-dense matter at -273 °C with a non uniform matter distribution, with a state equations arrive to a non-uniform mass distribution.



Cosmic Radiation Background



Arno Penzias and Robert Wilson



Uniform distribution of the radiation 2.7248 to 2.7252 Kelvin variation of 0.01%

Hot Universe Expansion

Based in the Gamow/Zeldovich Hot Universe:

'Violation of CP invariance, C asymmetry, and baryon asymmetry of the Universe', Pisma Zh. Eksp. Teor. Fiz. 5, 32-35, 1967)

Sakharov describes a scenario where a universe which was initially contracting and with equal and opposite baryon asymmetry to that existing today goes through a bounce at the singularity and reverses the magnitude of its baryon asymmetry

This scenario kept the CPT Invariance



The world of Andrei Sakharov: a Russian physicist's path to freedom, de Gennady Gorelik. Oxford University Press (2005). T<0 anti-matter Universe

T=0 Bounce with "Maximum" particles X

Massa 2 x10⁻⁵g or 10^{19} proton mass

Possible only out of the thermodynamical equilibrium

Maximum: Double baryon number violation

T>0 matter Universe



Baryonic violation problem

In 1955, Lee and Yang discussed a new massless gauge field based on the established conservation of baryon number.

They predicted the existence of a repulsive force between baryonic matter.



Big experimental effort to looking for protons decays

Proton decay experiments

Grand Unified Theories (in the late 1970's) $\rightarrow \tau_p = 10^{30\pm 2}$ years



Kamiokande (1000ton)

> IMB (3300ton)



NUSEX (130ton)

Frejus (700ton)



These experiments observed many contained atmospheric neutrino events (background for proton decay).



<u>Negative experimental results:</u> <u>Particle Data Group</u>

	Partial mean life		Antilepton + mesons
p DECAY MODES	(10 ³⁰ years) Co	$p \rightarrow e^+ \pi^+ \pi^-$	> 82
		$p \rightarrow e^+ \pi^0 \pi^0$	> 147
Antilepton + meson		$n \rightarrow e^+ \pi^- \pi^0$	> 52
$N \rightarrow e^+ \pi$	> 158 (n), > 1600 (p)	$p \rightarrow \mu^+ \pi^+ \pi^- + 0 0$	> 133
$N \rightarrow \mu^+ \pi$	> 100 (n), > 473 (p)	$p \rightarrow \mu^+ \pi^0 \pi^0$	> 101
$N \rightarrow \nu \pi$	> 112 (n), > 25 (p)	$n \rightarrow \mu^+ \pi^- \pi^0 - \mu^+ \kappa^0 - \mu^-$	> 74
$p \rightarrow e^+ \eta$	> 313	$n \rightarrow e' K^{\circ} \pi$	> 18
$p \rightarrow \mu^+ \eta$	> 126		Lepton + meson
$n \rightarrow \nu \eta$	> 158	$n \rightarrow e^{-} \pi^{+}$	> 65
$N \rightarrow e^+ \rho$	> 217 (n), > 75 (p)	$n \rightarrow \mu^- \pi^+$	> 49
$N \rightarrow \mu^+ \rho$	> 228 (n), > 110 (p)	$n \rightarrow e^- \rho^+$	> 62
$N \rightarrow \nu \rho$	> 19 (n), > 162 (p)	$n \rightarrow \mu^- \rho^+$	> 7
$p \rightarrow e^+ \omega$	> 107	$n \rightarrow e^{-}K^{+}$	> 32
$p \rightarrow \mu^+ \omega$	> 117	$n \rightarrow \mu^- K^+$	> 57
$n \rightarrow \nu \omega$	> 108		Lepton + mesons
$N \rightarrow e^+ K$	> 17 (n)	$p \rightarrow e^{-} \pi^{+} \pi^{+}$	> 30
$p \rightarrow e^+ K_S^0$	> 120	$n \rightarrow e^{-} \pi^{+} \pi^{0}$	> 29
$p \rightarrow e^+ K_I^0$	> 51	$p \rightarrow \mu^- \pi^+ \pi^+$	> 17
$N \rightarrow \mu^+ K$	> 26 (n)	$n \rightarrow \mu^- \pi^+ \pi^0$	> 34
$p \rightarrow \mu^+ K_c^0$	> 150	$p \rightarrow e^{-} \pi^{+} K^{+}$	> 75
$p \rightarrow \mu^+ K_1^0$	> 83	$p \rightarrow \mu^- \pi^+ K^+$	> 245
$N \rightarrow \nu K$	> 86 (n)		Antilepton + photon(s)
$n \rightarrow \nu K_s^0$	> 51	$p \rightarrow e^+ \gamma$	> 670
$p \rightarrow e^+ K^* (892)^0$	> 84	$p \rightarrow \mu^+ \gamma$	> 478
$N \rightarrow \nu K^*(892)$	> 78 (n)	$n \rightarrow \nu \gamma$	> 28
	> 10 (11)	$p \rightarrow e^+ \gamma \gamma$	> 100
		$n \rightarrow \nu \gamma \gamma$	> 219

1964 CP violation observed



James Cronin





Epigraph in a copy of his paper to his friend **Evgeny Feinberg**

66 "Making use of the effect

1. Okubo has proposed,

While the temperature is high

The universe is richly clothed

In a coat made to fit

Its crooked figure-head to foot."

In 1957 Okubo proposed that CP violation imply in a different rate between particles and anti-particles decays

Direct CP violation

Direct CP violation

Anti-proton decay rate bigger than proton decay.





Phases ϕ_i change signal with charge conjugate operation: weak phase. Phases δ_i no change signal with charge conjugate operation: strong phase.

$$\langle f|T|i\rangle = A_1 e^{i(\delta_1 + \phi_1)} + A_2 e^{i(\delta_2 + \phi_2)},$$

$$\langle \bar{f}|T|\bar{i}\rangle = A_1 e^{i(\delta_1 - \phi_1 + \theta)} + A_2 e^{i(\delta_2 - \phi_2)}$$

$$(\langle f|T|i\rangle|^2 - |\langle \bar{f}|T|\bar{i}\rangle|^2 = -4A_1A_2$$

$$\sin(\delta_1 - \delta_2)\sin(\phi_1 - \phi_2).$$

Directly CP violation: two amplitudes with different strong and weak phase.

<u>QP</u> in charge meson decays: **quark graphics** contributions to the charmless B <u>decays</u>

Myron Bander, D. Silverman, A. Soni : Phys.Rev.Lett. 43 (1979) 242



Weak CKM phase γ change signal with charge conjugate operation Strong phase coming from the loop in the Penguin contribution.

CP in charge meson decays: **hadronic FSI contributions to the charmless B decays** Wolfenstein (Phys.Rev. D43 (1991) 151-156)

Simplified formulation: P particle decay in a family of only two final states $\alpha \in \beta$



Weak CKM phase γ change signal with charge conjugate operation Strong phase coming from hadronic final state interaction.







LHCb: Phys. Rev. Lett. 110, 221601 (2013)

Directly CP violation:

simple counting of events between charge conjugates final states.



$$A_{CP}(B^0 \to K^+ \pi^-) = -0.080 \pm 0.007 \,(\text{stat}) \pm 0.003 \,(\text{syst}),$$
$$A_{CP}(B^0_s \to K^- \pi^+) = 0.27 \pm 0.04 \,(\text{stat}) \pm 0.01 \,(\text{syst}).$$
14





<u>Charmless three body B</u> charge <u>decays</u>

Study the B decays and their intermediary states:



B[±] ->K [±] п ⁺ п ⁻
B[±] ->п [±] п ⁺ п ⁻⁻⁻
B[±] ->п [±] K ⁺ K ⁻
B[±] ->K [±] K ⁺ K ⁻







$$s_{12} = M_{12}^2 = (p_1^{\nu} + p_2^{\nu})^2$$

 $s_{13} = M_{13}^2 = (p_1^{\nu} + p_3^{\nu})^2$
 $s_{23} = M_{23}^2 = (p_2^{\nu} + p_3^{\nu})^2$

With one constraint

Flat phase space where it is write the dynamics.

$$d\Gamma(s_{12}, s_{23}) = \frac{1}{(2\pi)^3 32 M_B^3} |\mathcal{M}|^2 ds_{12} ds_{23}$$

$$|\mathcal{M}|^2 \Rightarrow \text{resonances}$$

Phases in amplitude analysis

Signature of the phase difference between two interfering resonances

 $|\mathcal{M}|^2 = |a_{\pi^+\pi^-}|^2 + |a_{\pi^+\pi^0}|^2 + 2|a_{\pi^+\pi^-}|a_{\pi^+\pi^0}|$



Figure 1: $|a_{\pi^+\pi^-}| = 1, |a_{\pi^+\pi^0}| = 0$



Figure 2: $|a_{\pi^+\pi^-}| = 1, |a_{\pi^+\pi^0}| > 0$



Figure 3: * $|a_{\pi^+\pi^-}| = |a_{\pi^+\pi^0}| = 1, \Delta \Phi = 0^0$



Figure 4: * $|a_{\pi^+\pi^-}| = |a_{\pi^+\pi^0}| = 1, \Delta \Phi = 90^0$

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH (CERN)



CERN-EP-2022-100 LHCb-PAPER-2021-049 June 16, 2022

Direct *CP* violation in charmless three-body decays of B^{\pm} mesons

LHCb collaboration

Abstract

Measurements of CP asymmetries in charmless three-body decays of B^{\pm} mesons are reported using proton-proton collision data collected by the LHCb detector, corresponding to an integrated luminosity of $5.9 \, {\rm fb}^{-1}$. The previously observed CPasymmetry in $B^{\pm} \to \pi^{\pm} K^+ K^-$ decays is confirmed, and CP asymmetries are observed with a significance of more than five standard deviations in the $B^{\pm} \to \pi^{\pm} \pi^+ \pi^$ and $B^{\pm} \to K^{\pm} K^+ K^-$ decays, while the CP asymmetry of $B^{\pm} \to K^{\pm} \pi^+ \pi^-$ decays is confirmed to be compatible with zero. The distributions of these asymmetries are also studied as a function of the three-body phase space and suggest contributions from rescattering and resonance interference processes. An indication of the presence of the decays $B^{\pm} \to \pi^{\pm} \chi_{c0}(1P)$ in both $B^{\pm} \to \pi^{\pm} \pi^+ \pi^-$ and $B^{\pm} \to \pi^{\pm} K^+ K^$ decays is observed, as is CP violation involving these amplitudes.

Submitted to Phys. Rev. D

© 2022 CERN for the benefit of the LHCb collaboration. CC BY 4.0 licence.

arXiv:2206.07622v1 [hep-ex] 15 Jun 2022

Fit results





B⁺ - B⁻ Dalitz differences M^{2}_{K+K} Vs M^{2}_{K+K} phase space distribution





Dalitz Map of the CP violation



Simetrical Dalitz

If
$$M^{2}_{K+K1} > M^{2}_{K+K2}$$

 $M^{2}_{K+K1} = M^{2}_{K+K-high}$
and
 $M^{2}_{K+K1} = M^{2}_{K+K-how}$

Otherwise



<u>CP Dalitz distribution for the four</u> $B^{\mp} \rightarrow h^{\mp} h^{+} h^{-}$ channels



The CKM weak phase γ is a constant

Hadronic final state interaction phase changing in the phase

Contents lists available at ScienceDirect



Progress in Particle and Nuclear Physics

journal homepage: www.elsevier.com/locate/ppnp



Review

Direct *CP* violation in beauty and charm hadron decays





^a Centro Brasileiro de Pesquisas Físicas, Rua Dr. Xavier Sigaud 150, Urca, CEP 22290-180 Rio de Janeiro, Brazil
^b Pontificia Universidade Católica do Rio de Janeiro, Rua Marquês de São Vicente 225, Gávea, CEP 22451-900 Rio de Janeiro, Brazil

ARTICLE INFO

ABSTRACT

Article history: Available online 22 June 2020 Since the discovery of \mathcal{P} violation more than 5 decades ago, this phenomenon is still attracting a lot of interest. Among the many fascinating aspects of this subject, this review is dedicated to direct \mathcal{P} violation in non-leptonic decays. The advances within the last decade have been enormous, driven by the increasingly large samples of *b*- and *c*-hadron decays, and have led to very interesting results such as large \mathcal{P} asymmetries in charmless B decays and the observation of direct \mathcal{P} violation in the charm sector. We address the quest for understanding the origin of strong phases, the importance of final state interactions and the relation with $\mathcal{P}T$ symmetry, and different approaches to measure direct \mathcal{P} violation in these decays. The main experimental results and their implications are then discussed.

© 2020 Elsevier B.V. All rights reserved.

Contents

Keywords:

CPviolation

Beauty hadrons

Charm hadrons

1.	Introd	uction	2
2. <i>CP</i> violation in decays and <i>CPT</i> symmetry			3
	2.1.	Hadronic strong phases and CPT constraints on CP violation	4
	2.2.	Short-distance effects and inclusive B decays	6
	2.3. Exclusive B decays and final-state interaction phases		7
	2.4.	What to expect in charm decays	7
3.	Experi	imental facilities and analysis tools	8
	3.1.	Main facilities for CP violation in beauty and charm hadrons	8
	3.2.	Direct <i>CP</i> violation in the decay rate	10
	3.3.	Direct <i>CP</i> violation in multi-body decays	10
		3.3.1. Amplitude analysis	10
		3.3.2. The quasi-two-body approximation	12
		3.3.3. The Miranda techniques	13
		3.3.4. Unbinned techniques	14
		3.3.5. Triple products	16
4.	Direct	CP violation in charmless B decays	16
	4.1.	Two-body decays	17
	4.2.	Three-body decays	19
		4.2.1. Interference between different angular-momentum waves	19
		4.2.2. $\pi^-\pi^+ \rightarrow K^-K^+$ re-scattering	20
		4.2.3. Quasi-two-body decays	23
		4.2.4. B decays involving baryons	24

* Corresponding author.

E-mail address: carla.gobel@puc-rio.br (C. Göbel).

https://doi.org/10.1016/j.ppnp.2020.103808 0146-6410/© 2020 Elsevier B.V. All rights reserved.

CP in charge meson decays: **hadronic FSI contributions to the charmless B decays** Wolfenstein (Phys.Rev. D43 (1991) 151-156)

Simplified formulation: P particle decay in a family of only two final states $\alpha \in \beta$



Weak CKM phase γ change signal with charge conjugate operation Strong phase coming from hadronic final state interaction.

Sakharov model keep the CPT Invariance

◆ CPT invariance ⇒ Same lifetime and same mass to particle and anti-particle.

Lifetime
$$\tau = 1 / \Gamma_{total} = 1 / \Gamma_{total}$$

$$\Gamma_{total} = \Gamma_1 + \Gamma_2 + \Gamma_3 + \Gamma_4 + \Gamma_5 + \Gamma_6 + \dots$$

$$\overline{\Gamma}_{total} = \overline{\Gamma}_1 + \overline{\Gamma}_2 + \overline{\Gamma}_3 + \overline{\Gamma}_4 + \overline{\Gamma}_5 + \overline{\Gamma}_6 + \dots$$

- CP violation $\Rightarrow \Gamma_1 > \overline{\Gamma}_1$.
- CPT conservation:

 $\Gamma_2 + \Gamma_3 + \Gamma_4 + \Gamma_5 + \Gamma_6 + \dots < \overline{\Gamma}_2 + \overline{\Gamma}_3 + \overline{\Gamma}_4 + \overline{\Gamma}_5 + \overline{\Gamma}_6 + \dots$

In a exact proportion.

- This imply a necessary communication between CP violation channels.
- In strong interaction it can be produced by re-scattering.

Direct CP violation

Anti-proton decay rate bigger than proton decay.



The Sakharov proposed apparently is non-feasible if one keep CPT

Apparent solution

James Claine lectures at Les Houches Summer School arXiv:hep-ph/0609145

Channel with direct CP violation

$$\Gamma(X \to q q) \neq \Gamma(\bar{X} \to \bar{q} \bar{q})$$

Channel with direct CP violation

$$\Gamma(X \to Y) \neq \Gamma(\bar{X} \to \bar{Y})$$

Y with a different baryon number than qq

$$\Gamma(X \to qq) + \Gamma(X \to Y) = \Gamma(\bar{X} \to \bar{q}\bar{q}) + \Gamma(\bar{X} \to \bar{Y})$$

In a exact proportion.

This imply a necessary communication between CP violation channels.

So, Y must couple with qq

<u>Marshak et al,</u> <u>Theory of Weak interactions in particles physics:</u> <u>Wiley & Sons, 1969.</u>

2. Space-Time Properties

CPT Invariance

If *CPT* invariance holds, we have for any final state $|\lambda\rangle$ into which K can decay

$$\langle \lambda^{\text{out}} | H_w^{\lambda} | \bar{K} \rangle = \langle \lambda^{\text{out}} | (CPT)^{-1} H_w^{\lambda} CPT | \bar{K} \rangle$$

Now we can choose the phase factors of the state vectors $|K\rangle$ and $|\bar{K}\rangle$ such that

$$CPT |\vec{K}\rangle = -\langle K| \tag{6.76a}$$

$$CPT |\lambda^{\text{out}}\rangle = \eta_{\lambda} \langle \hat{\lambda}^{\text{in}} | \qquad (6.76b)$$

where $|\eta_{\lambda}|^2 = 1$ and $\hat{\lambda}$ denotes the *CPT* conjugate state to λ (particles replaced by antiparticles with the same momenta but with all spins

534

WEAK INTERACTIONS OF ELEMENTARY PARTICLES

reversed). Then

$$\begin{split} \lambda^{\text{out}} | H_w^h | \bar{K} \rangle &= -\eta_{\lambda} \langle K | H_w^h | \hat{\lambda}^{\text{in}} \rangle \\ &= -\eta_{\lambda} \sum_{\hat{\lambda}''} \langle K | H_w^h | \hat{\lambda}''^{\text{out}} \rangle \langle \hat{\lambda}''^{\text{out}} | \hat{\lambda}^{\text{in}} \rangle \\ &= -\eta_{\lambda} \sum_{\hat{\lambda}''} S_{\hat{\lambda}'' \hat{\lambda}} \langle K | H_w^h | \hat{\lambda}''^{\text{out}} \rangle \end{split}$$

where $S_{\hat{\lambda}\hat{\lambda}''} = e^{2i\delta}_{\hat{\lambda}\hat{\lambda}''}$ is the S matrix element for the final states. Thus

$$\langle \lambda^{\text{out}} | H^{\hbar}_{w} | \bar{K} \rangle = -\eta_{\lambda} \sum_{\hat{\lambda}''} S_{\hat{\lambda}\hat{\lambda}''} \langle \hat{\lambda}''^{\text{out}} | H^{\hbar}_{w} | K \rangle^{*}$$
(6.77)

If λ denotes many-particle final states, as is the case here, the application of the above result is complicated by the difficulty of classifying the final states in such a way that each has a single phase shift. Nevertheless, if we sum absolute squares on both sides of (6.77) over all final states, we obtain [33]

$$\Gamma_{\overline{K}} = \sum_{\lambda} |\langle \lambda^{\text{out}} | H_{w}^{h} | \overline{K} \rangle|^{2}
= \sum_{\lambda} \sum_{\lambda''} \sum_{\lambda'''} S_{\lambda'''\lambda} S_{\lambda'''\lambda} S_{\lambda'''\lambda''} | H_{w}^{h} | K \rangle^{*} \langle \lambda'''^{\text{out}} | H_{w}^{h} | K \rangle
= \sum_{\lambda'''} \sum_{\lambda'''} \delta_{\lambda''\lambda'''} \langle \lambda''^{\text{out}} | H_{w}^{h} | K \rangle^{*} \langle \lambda'''^{\text{out}} | H_{w}^{h} | K \rangle
= \sum_{\lambda''} |\langle \lambda''^{\text{out}} | H_{w}^{h} | K \rangle|^{2} = \Gamma_{K}$$
(6.78)

It is not necessary [34] to sum over all final states. Summation is necessary over only such states as would be mixed together in forming S matrix eigenstates. Now for $K \rightarrow 3\pi$ decay, parity conservation forbids any strong or electromagnetic transitions between a total J = 0 threepion state and a J = 0 two-pion state; nor is either connected to leptonic final states except by the weak interaction. Thus, neglecting electromagnetism, two-pionic, three-pionic, and leptonic rates of K should be separately equal [34, 35] to the corresponding rates of \overline{K} .

(i) 3π modes of charged kaons. The above considerations show that *CPT* invariance implies [33, 34] (neglecting the electromagnetic interaction)

$$\Gamma(K^+ \to 3\pi) \equiv \Gamma(K^+ \to \pi^+ \pi^- \pi^-) + \Gamma(K^+ \to \pi^0 \pi^0 \pi^+)$$

= $\Gamma(K^- \to \pi^- \pi^- \pi^+) + \Gamma(K^- \to \pi^0 \pi^0 \pi^-) \equiv \Gamma(K^- \to 3\pi)$
(6.79)

<u>Simplified version of the Marshak et al,</u> <u>demonstration</u>

I.B., T. Frederico and O. Lourenço PRD 89, 094013 (2014)

III. CPT INVARIANCE IN A DECAY

To define our notation and the framework for implementing the *CPT* constraint in *B* meson decays, we follow closely Refs. [22,23]. A hadron state $|h\rangle$ transforms under *CPT* as $CPT|h\rangle = \chi \langle \bar{h} |$, where \bar{h} is the charge conjugate state and χ a phase. The weak and strong Hamiltonians conserve *CPT*, therefore $(CPT)^{-1}H_w CPT = H_w$ and $(CPT)^{-1}H_s CPT = H_s$. The weak matrix element for the hadron decay is $\langle \lambda_{out} | H_w | h \rangle$, where λ_{out} includes the distortion from the strong force due to the final state interaction. The requirement of CPT invariance is fulfilled for the matrix element when

$$\langle \lambda_{\text{out}} | H_w | h \rangle = \chi_h \chi_\lambda \langle \overline{\lambda}_{\text{in}} | H_w | h \rangle^*.$$
⁽¹⁾

Inserting the completeness of the strongly interacting states, eigenstates of H_s , and using hermiticity of H_w , one gets

$$\langle \lambda_{\text{out}} | H_w | h \rangle = \chi_h \chi_\lambda \sum_{\bar{\lambda}'} S_{\bar{\lambda}',\bar{\lambda}} \langle \bar{\lambda}'_{\text{out}} | H_w | \bar{h} \rangle^*, \qquad (2)$$

where the S-matrix element is $S_{\bar{\lambda}',\bar{\lambda}} = \langle \bar{\lambda}'_{out} | \bar{\lambda}_{in} \rangle$.

The sum of partial decays width of the hadron decay and the correspondent sum for the charge conjugate should be identical, which follows from Eq. (2)

$$\sum_{\lambda} |\langle \lambda_{\text{out}} | H_w | h \rangle|^2 = \sum_{\bar{\lambda}} \left| \sum_{\bar{\lambda}'} S^*_{\bar{\lambda}',\bar{\lambda}} \langle \bar{\lambda}'_{\text{out}} | H_w | \bar{h} \rangle \right|^2$$
$$= \sum_{\bar{\lambda}} |\langle \bar{\lambda}_{\text{out}} | H_w | \bar{h} \rangle|^2, \tag{3}$$

and note that besides the *CPT* constraint we have also used the hermiticity of the weak Hamiltonian.





CP violation from re-scattering : final state interaction $\pi^+ \pi^- \rightarrow K^+ K^-$





31

1000

 $|T(\pi\pi \quad \overline{K}K)|$ from solution I(b).

1200

FIG. 27. Modulus of the $\pi\pi \rightarrow \overline{K}K$ scattering amplitude

1400

MASS (MeV)

1600